

MASTER OF SCIENCE IN WEALTH MANAGEMENT
MAÎTRISE UNIVERSITAIRE EN GESTION DE PATRIMOINE

INVESTMENT MANAGEMENT LAW (5861)

Prof. Luc THEVENOZ

6 ECTS

Semester: Spring

Teaching language: English

Description

This course provides deep insights into the laws and regulations governing investment in financial instruments and the provision of related services (financial advice, wealth/asset management, trade execution). It does so in a comparative perspective, with a focus on Swiss law, extensive consideration of EU law, international standards, and frequent use of cases from leading jurisdictions in the area of 'private banking' services.

Topics will include the notion of financial instrument, the regulatory framework applying to financial instruments and services, contracts for the provision of such services, conflicts of interest, standard of care, liability and damages. We will also consider topical issues such as sustainable (ESG) investment and robo-advisors.

This class is open to all masters' students, including the Geneva Master in Wealth Management, and to students of the Certificate of Transnational Law. Students are expected to read materials written in English and in French and to actively participate in classroom discussion in English. Papers on assigned topics may be submitted (and possibly presented orally) in lieu of the (oral) final examination.